We will start at 10:00 am (Brussels Time)

SESEC V Webinar

China AI
Laws and Regulations, policies and Standards 2023

Dr. Betty Xu

- ✓ You are ***muted***
- ✓ Use the ***Q&A or Chat Panel*** to submit your questions
- ✓ Keep your questions ***short and concise***
- ✓ Your questions will be answered after the presentation
- ✓ ***Slides and recording*** will be sent to you afterwards

- ✓ Contact us: assistant@sesec.eu
- ✓ Welcome to our website: https://sesec.eu/

Seconded European Standardization Expert in China (SESEC) Project

SESEC INTRODUCTION

A Project co-funded by EC, EFTA, CEN CENELEC & ETSI

- **Promote** European and International standards in China
- **Improve** contacts between Project Partners and different levels of the Chinese administration, industry and standardization bodies
- **Enhance** visibility and understanding of the European Standardization System (ESS) in China.
- **Gather** regulatory and standardization intelligence
- **Undertake** technical lobbying

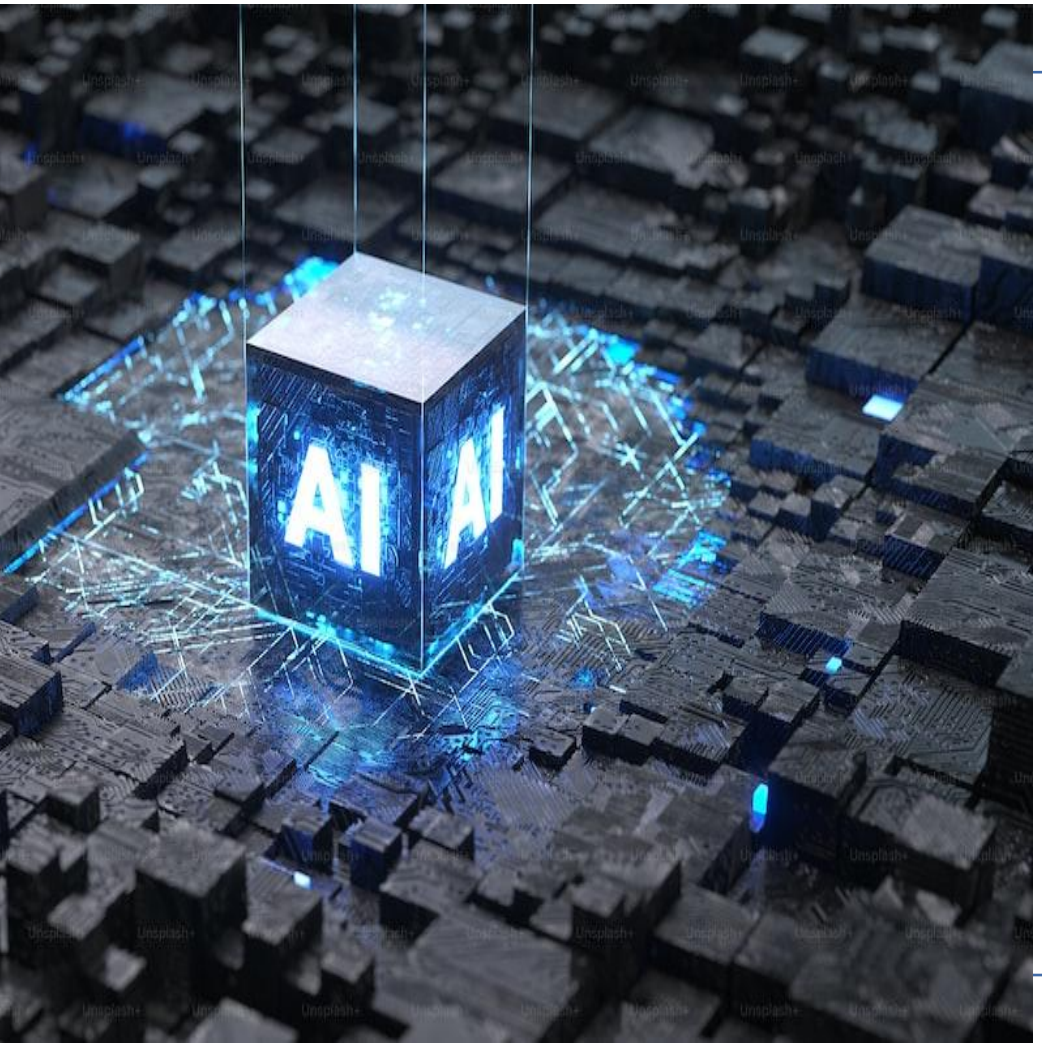
Goals

- The SESEC initiative supports **EC policy** and **ESOs strategic objectives** in China.
- Our ultimate goal is the enhancement of **EU-China dialogue and cooperation** in the field of standardization.
- It is notably expected to support the Framework Cooperation Agreement in place **between the ESOs and SAC.**

PROJECT PRIORITY DISCUSSION

Current Priority Topics

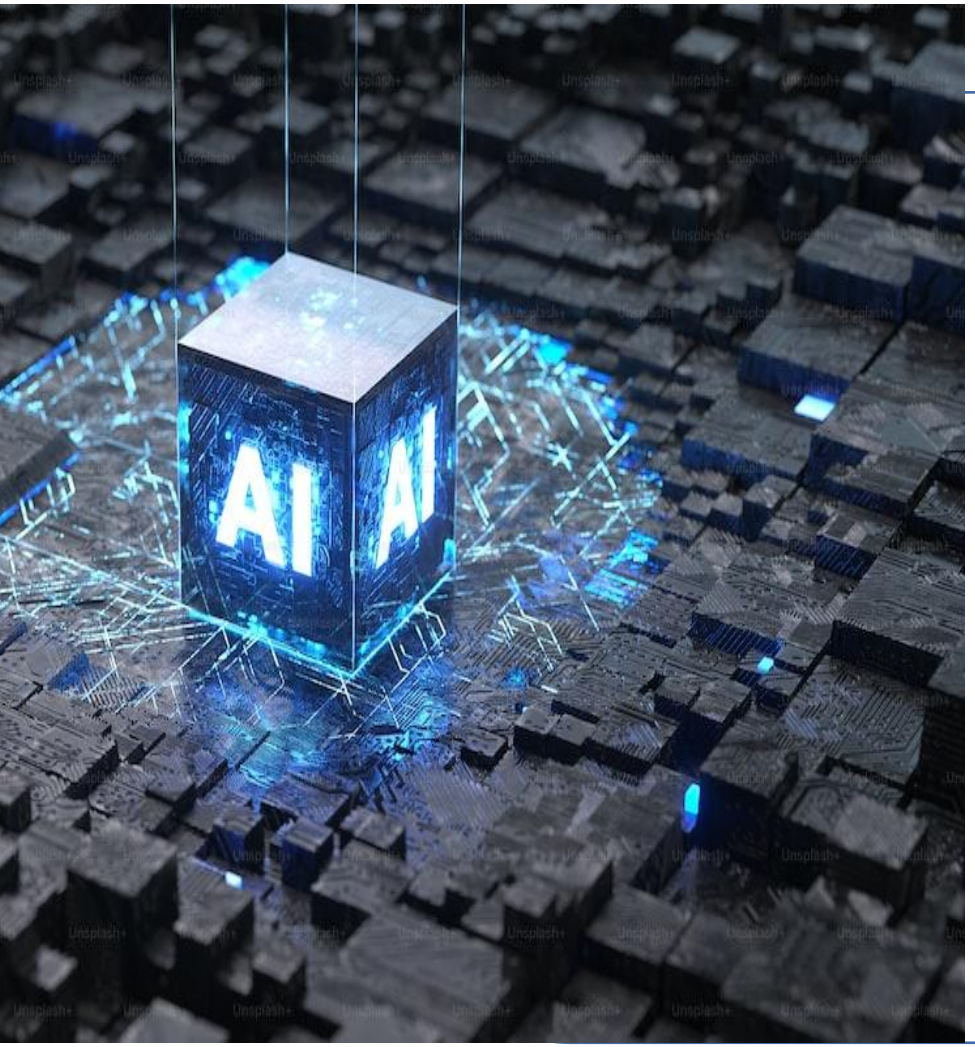
Horizontal Technical Priorities	Horizontal Political Priorities
IOT	China Standards 2035
Information Security	Belt and Road Initiative
Artificial Intelligence	Standardisation Reform
5G in digitalization of industry	Made in China 2025
Automated Transport	Institutional Changes in Chinese Government
Medical Devices	Market Access
Green Technology	14th Five-Year Plan



Review of AI in China

Laws & Regulations,
Policies & Initiatives,
Standardization
In 2023

CONTENTS



01

Laws & Regulations related to AI 2023

01 AI Related Laws and Regulations(2020-2022)

Personal Information Protection Law of the People's Republic of China
China Data Security Law
Ministry of Science and Technology (MoST):
New Generation of AI Ethics Norms (Sep 2021)

----Guidelines for natural persons, legal persons, and other relevant institutions that are engaged in AI activities

No similar Laws like EU AI ACT is under drafting in China at this moment

01 Laws & Regulations related to AI

Interim Measures for the Administration of Generative Artificial Intelligence Services

- Releasing Date: 2023/07/13
- Issuing Authorities: CAC, NDRC, MOE, MoST, MIIT, MPS, NRTA

中华人民共和国中央人民政府
www.gov.cn

首页 | 简 | 繁 | EN | 登录 | 邮箱 | 无障碍

首页 > 政策 > 国务院政策文件库 > 国务院部门文件　　字号：默认 大 超大 | 打印 收藏 留言

标　　题：	生成式人工智能服务管理暂行办法	发文机关：	国家网信办 国家发展改革委 教育部 科技部 工业和信息化部 公安部 广电总局
发文字号：	国家互联网信息办公室 中华人民共和国国家发展和改革委员会 中华人民共和国教育部 中华人民共和国科学技术部 中华人民共和国工业和信息化部 中华人民共和国公安部 国家广播电视总局令第15号	来　　源：	国家网信办网站
主题分类：	科技、教育\科技	公文种类：	命令（令）
成文日期：	2023年07月10日		

国家互联网信息办公室
中华人民共和国国家发展和改革委员会
中华人民共和国教育部
中华人民共和国科学技术部
中华人民共和国工业和信息化部
中华人民共和国公安部
国家广播电视总局

01 Laws & Regulations related to AI

Interim Measures for the Administration of Generative Artificial Intelligence Services (Measures)

Chapters:

- General rules
- Technology development and governance
- Stipulation on services
- Supervision, inspection and legal liabilities
- Supplementary provisions

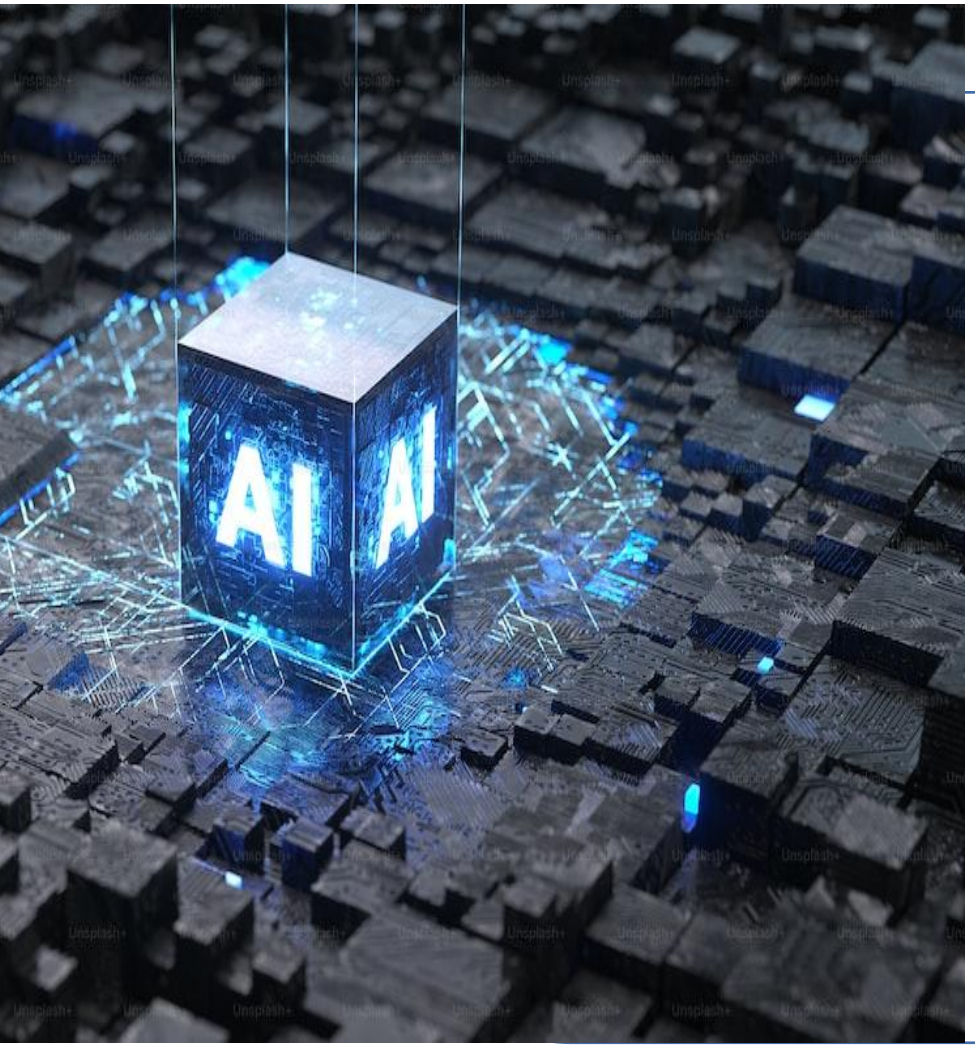
Comparison with Provisions on the Administration of Deep Synthesis of Internet-based Information Services (2022) (Provisions)

Common grounds:

- provisions for content generated via AI or related technology

Differences:

- the Provisions focus mainly on ensuring that synthetic content is appropriately marked and recorded as required
- Measures primarily aim to guarantee the authenticity, accuracy, and objectivity of the content generated by generative AI



02

AI Policies & Initiatives

02

AI Policies & Initiatives

Global AI Governance Initiative

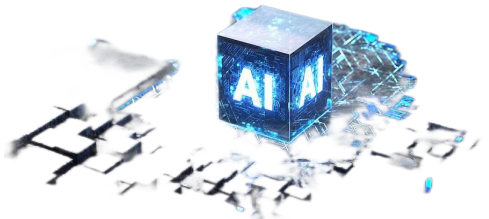
- Releasing Date: 2023/10/18
- Issuing Authorities: CAC
- Presented by President Xi at the 3rd Belt and Road Forum for International Cooperation

02

AI Policies & Initiatives

Global AI Governance Initiative

- people-centered
- national sovereignty
- AI for good
- mutual respect, equality, and mutual benefit
- testing and assessment system based on AI risk levels
- personal privacy and data security
- fairness and non-discrimination
- ethics first
- wide participation and consensus-based decision-making
- technological capacity for AI governance
- representation and voice of developing countries in global AI governance



02

AI Policies & Initiatives

Guiding Opinions on Innovative Development of Humannoid Robot

- Releasing Date: 2023/11/02
- Issuing Authorities: MIIT

AI Policies & Initiatives

Guiding Opinions on Innovative Development of Humannoid Robot

Objectives:

By 2025,

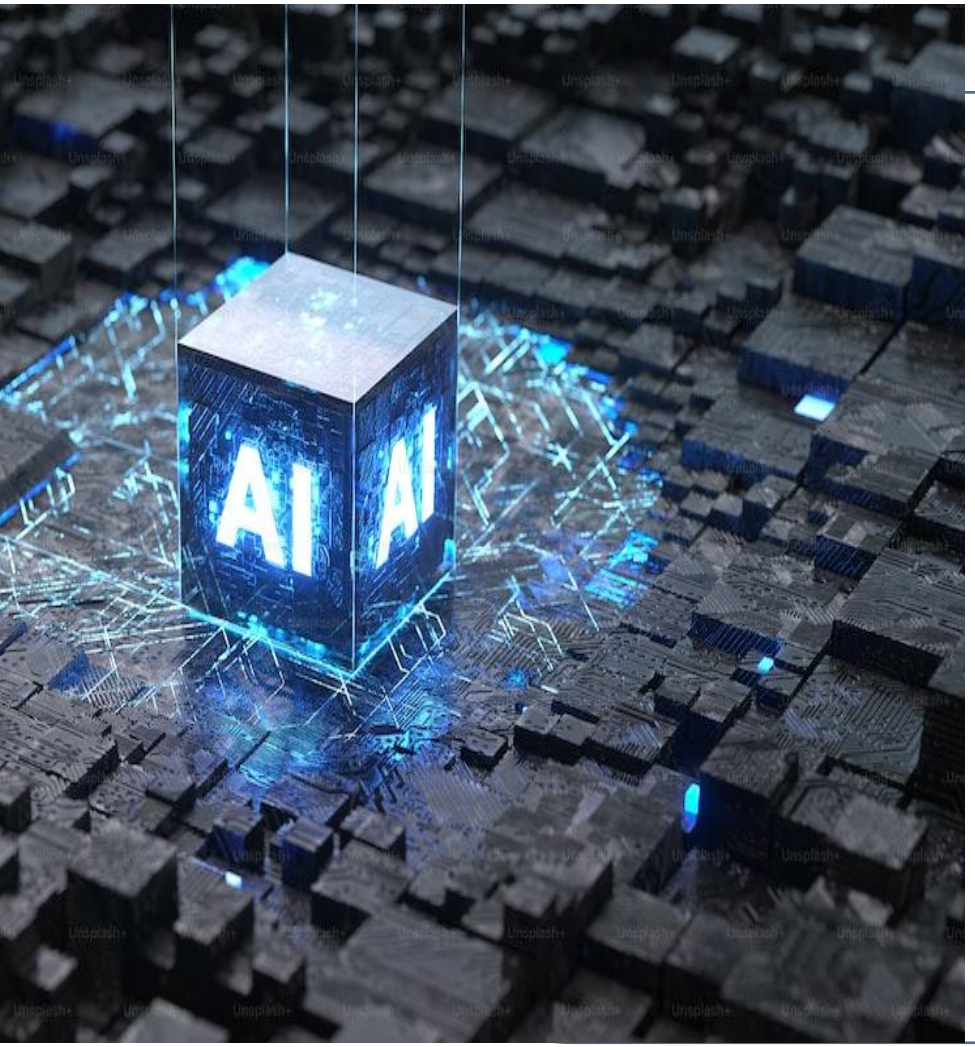
- establish a preliminary innovation system for humanoid robots
- achieve breakthroughs in key technologies like the "brain, cerebellum and limbs" of robots.
- ensure the safe and effective supply of core components, with the robots reaching international advanced levels and being used in scenarios such as special operations, manufacturing and livelihood services。
- cultivate two to three ecological enterprises with global influence, a group of specialized small- and medium-sized enterprises, and two to three industrial clusters, breeding and developing new business models and forms.

By 2027,

- significantly improve the technological innovation capability of humanoid robots
- establish a safe and reliable industrial supply chain, and build an internationally competitive industrial ecology.

Standard-wise:

- Carrying out research on the standardization roadmap of humanoid robots, comprehensively sort out the standardization needs of the industrial chain, establish and improve the humanoid robot industry standard system, and promote the formulation of standards by classification.
- Directions for standardization: basic commonality, system evaluation, safety and trustworthiness, and industry application.
- Types of standards: national standards, sector standards and association standards.
- China's standards "going out", and actively participate in the formulation of international standards.



03

China AI Standardisation in 2023

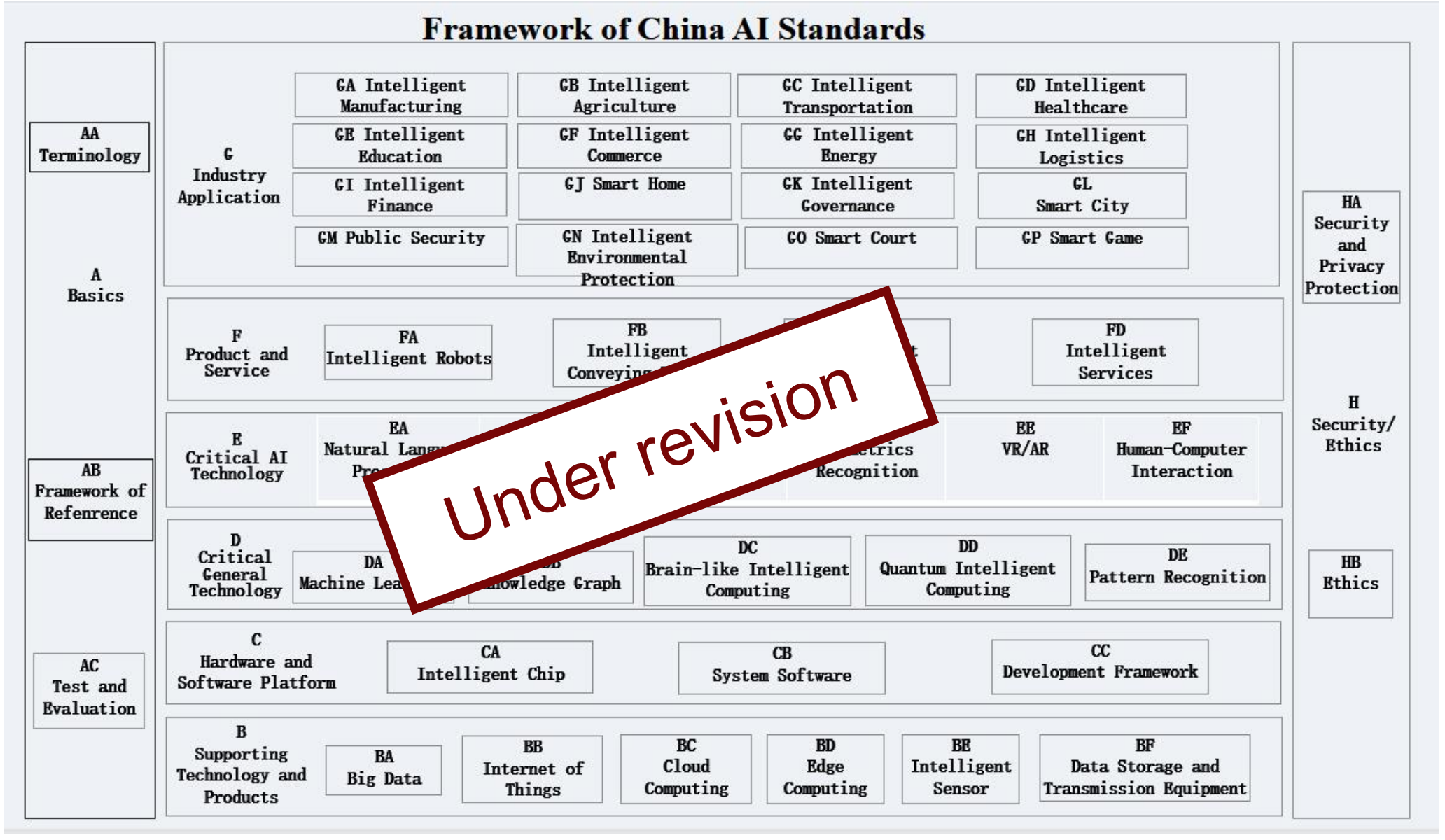
02 AI Policies (2020-2022)

Guidelines for the construction of the national new generation of artificial intelligence standard system

- Releasing Year: 2020
- Issuing Authorities: SAC, CAC, National Development and Reform Commission, MoST, MIIT

02

AI Policies (2020-2022)



China AI Standardization 2023

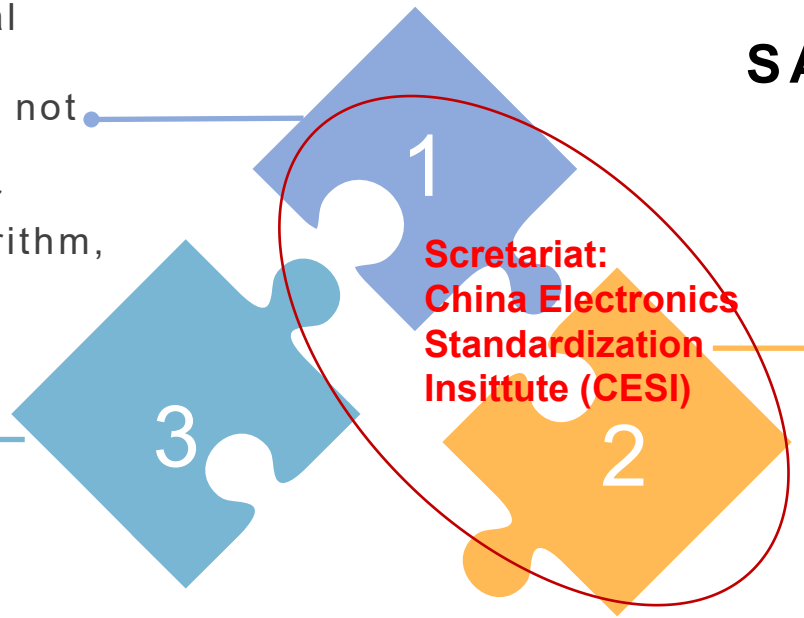
Three Major SDOs

SAC/TC28/SC42 → (Mirroring ISO/IEC/JTC1/SC 42)

Type of standards: national standards, association standards and international standards
Areas of coverage: wide (including but not limited to automated driving, chip and system, product and service, computer vision, trustworthiness, mdel and algorithm, knowledge graph, open source...)

CAICT (China Academy of Information and Communications Technology)

Types of standards: international standards, sector standards, association standards
Areas of coverage: trustworthiness



SAC/TC260/SWG-BDS

Types of standards: national standards and practical guidance documents
Areas of coverage: AI security and safety

AI Standardization General Group: responsible for drafting the standardization roadmap, policy, standardization system, coordination and communication, pilot trials, promotion, as well as engagement in international standardization and estalblish cooperation relationship, etc.

03 AI Standardisation (2020-2022)

SAC/TC28/SC42

Structure of the National AI Standards Technical Commitee (SAC/TC 28/SC 42)

SAC TC 28/SC 42: (mirroring ISO/IEC/JTC1/SC 42)

- WG of Basic Standards
- WG of Automated Driving
- WG of Chip and System
- WG of Product and Service
- WG of Computer Vision
- WG of Trustworthiness
- WG of Model and Algorithm
- WG of Knowledge Graph

03 China AI Standardization 2023

SAC/TC28/SC42

Name of WG	Work Scope
Working Group of Fundamental Standards	Developing AI terminology, AI management system and other basic national standardsMirroring ISO/IEC JTC 1/SC 42
Research Group of Chips and Systems	Research and standardization of AI chip and system technology, as well as relevant product
Research Group of Models and Algorithms	Analyzing of the basic AI models and algorithmsStandardizing generic models and algorithms in important fieldsStudying the AI development framework and open platformCarrying out relevant standardization research
Research Group of Products and Services	Standardization of intelligent products and services
Research Group of Trustworthiness	Focusing on the research of trustworthiness elements of AI system;Standardization in support of testing technology, evaluation methods, and implementation approach for AI system;Improving the trustworthiness of AI system from multi-dimensions: hardware, data set, algorithm, and systems
Working Group of Computer Vision	Standardization of computer vision system and products for various sectors, including the industry, financial, medical industry, security and protection, as well as transportation
Working Group of Knowledge Graph	Top-level design and demand analysis of standardization in the field of knowledge graphStandardization of knowledge graphSupporting the China's work in international standards of knowledge graphPromoting the knowledge development, collection, flow and application
Research Group of Automated Driving	Standardization of key generic technology: driving environment fusion perception, the control of intelligent decision-making, re-configurable design of complex system and multi-mode test and evaluation

In 2023

Newly-established WGs:

- WG of Humanoid Robot
- WG of Opensource
- WG of Intelligent Computing
- WG of Electric Power Application
- WG of Smart Life

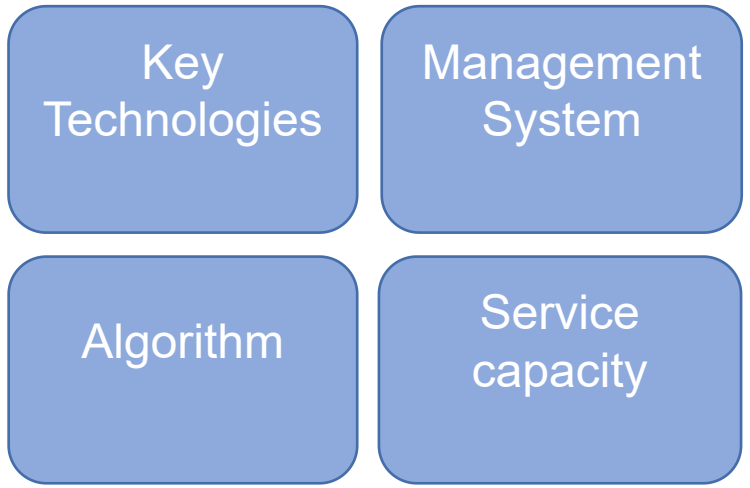
03 China AI Standardization 2023

SAC/TC28/SC42

National Standards Projects - Newly-established in 2023

- 20221790-T-469 Artificial Intelligence – Unified interfaces for heterogeneous artificial intelligence accelerating units
- 20221795-T-469 Artificial Intelligence – Technical Specification for Multi-Hardware Platform Integration of Deep Learning Framework
- 20221793-T-469 Artificial intelligence – Computation scheduling and cooperation - Part 1: Virtualization and Scheduling
- 20221792-T-469 Artificial intelligence – Computation scheduling and cooperation - Part 2: Distributed computing framework
- 20221794-T-469 Artificial intelligence – Server system performance benchmarking specification
- 20221791-T-469 Artificial Intelligence – Management System
- 20221348-T-469 Artificial intelligence – Service capability maturity evaluation
- 20221450-T-469 Artificial intelligence – Deep learning algorithms evaluation
- 20230715-T-469 Artificial intelligence — Operator interface —Part 1: Basic mathematical classes
- 20230716-T-469 Artificial intelligence —Operator interface —Part 2: Neural network classes
- 20230717-T-469 Information Technology -- Neural Network Representation and Model Compression -- Part 2: Large Scale Pre-training Model
- 20230718-T-469 Information Technology — Neural network representation and model compression — Part3: Graph Neural Network

Covered Areas



03 China AI Standardization 2023

SAC/TC28/SC42

National Standards Projects - To-be-established

Large-scale model-related:

AI Pretraining Model Part 1: General Requirement
AI Pretraining Model Part 2: Evaluation index and method
AI Pretraining Model Part 3: Service capability maturity assessment

Compliance test:
relevant compliance test based on these three standards has been initiated in 2023

03 China AI Standardization 2023

SAC/TC28/SC42

Sector Standards Projects - Newly-established in 2023

1. 2022-1319T-SJ Technical specification for artificial intelligence vehicle feature recognition systems - Part 1: Motor vehicles
2. 2022-1320T-SJ Technical specification for artificial intelligence vehicle feature recognition systems - Part 2: Non-motor vehicles
3. 2022-1321T-SJ Trustworthy Technical specification for artificial Intelligence computer vision Systems
4. 2022-1322T-SJ Technical specification for artificial intelligence depth synthesis image system
5. 2022-1323T-SJ Technical specification of artificial intelligence video image content review system
6. 2022-1324T-SJ Intelligent character recognition technical requirements
7. 2022-1325T-SJ Automated driving system simulation test scenario requirements - Part 1: unmanned delivery vehicles
8. 2022-1326T-SJ Automated driving system simulation test scenario requirements - Part 2: minibus
9. 2023-0033T-SJ General technical specifications for industrial AI visual appearance inspection system
10. 2023-0034T-SJ Technical specification for AI industrial vision online inspection system for power industry
11. 2023-0035T-SJ Technical specification for AI industrial vision online inspection system for electronic industry

Covered Areas

- Computer Vision
- Automated Driving Simulation Test
- AI industrial vision online inspection system

03 China AI Standardization 2023

SAC/TC28/SC42

International standards and national standards

- Collecting request for new standard project

Directions include but not limited to:

- Technology of heterogeneous AI computing with accelerator, and cloud-edge-terminal collaborative deployment technology
- AI computing power infrastructure, such as large-scale cluster, computing center, AI data center, etc.
- Intelligent scheduling technology for large-scale computing power, and high-performance distributed training technology
- Intelligent computing acceleration technology for application scenrios, such as AI for Science, automated driving, etc

Official Establishment - IEEE P3342 Standard for Functional Requirements of Toolchain for Artificial Intelligence Model Development on Edge Devices in C/AISC/EDGE-WG

This standard outlines the toolchain for deploying artificial intelligence (AI) models on edge devices and specifies the functional requirements for this process. The standard covers key areas such as frontend adaptation, model compression, graph optimization, backend adaptation, compiling optimization, and runtime optimization. It is relevant to all stages of AI model deployment on edge devices, including design, development, promotion, and application.

03 China AI Standardization 2023

SAC/TC28/SC42

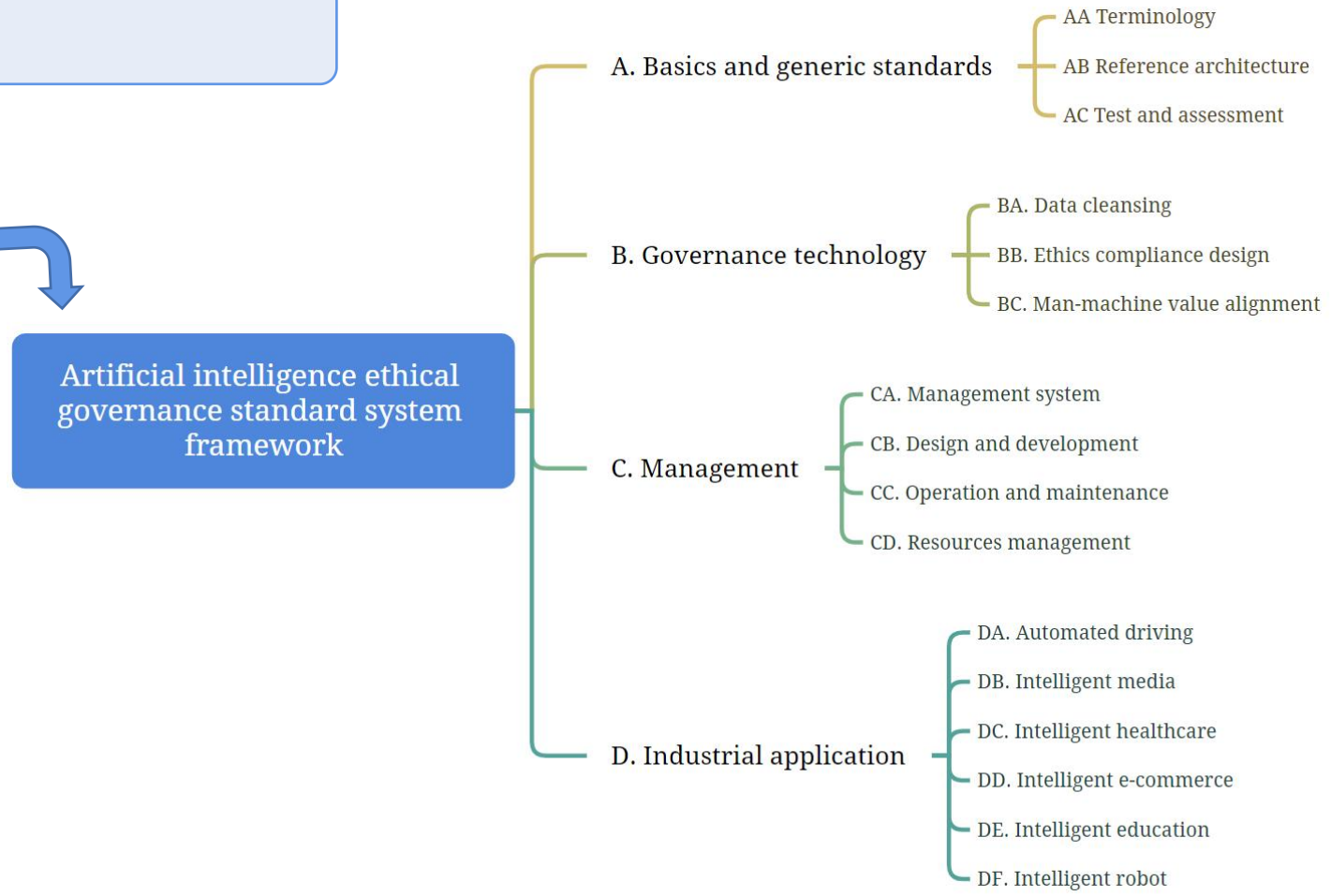
White book or research report

1. White paper on standardization of ethical governance in artificial intelligence (published)

2. Revision of the Guidelines for the Establishment of the National New Generation of Artificial Intelligence Standard System (in discussion) !!!

3. Intelligent computing standards and standardization white paper (under development)

4. Deep learning framework standard system research report (under development)



03 China AI Standardization 2023

SAC/TC28/SC42

Other activities:

WG of Intelligent Computing:

Initiate the AI Bench test, important for the national standards development


团 体 标 准

信息技术 人工智能
服务器系统性能测试规范

Information technology — Artificial intelligence —
Specification for performance benchmarking for server systems

CESA Association Standard (Published)


P2937™/D5
Draft Standard for Performance Benchmarking for Artificial Intelligence Server Systems

IEEE Standard (Published)


GB
中华人民共和国国家标准

人工智能 服务器系统性能测试规范

SAC National Standard (in Development)

03 China AI Standardization 2023

SAC/TC28/SC42

Other activities:

Initiate the application experiment verification of AI software and hardware integration standards - national standards

01

20221794-T-469
Artificial intelligence – Server system performance benchmarking specification

02

20221790-T-469
Artificial Intelligence – Unified interfaces for heterogeneous artificial intelligence accelerating units

03

20221793-T-469
Artificial intelligence - Computation scheduling and cooperation - Part 1: Virtualization and Scheduling

04

20221792-T-469
Artificial intelligence - Computation scheduling and cooperation - Part 2: Distributed computing framework

05

20221795-T-469
Artificial Intelligence-Technical Specification for Multi-Hardware Platform Integration of Deep Learning Framework

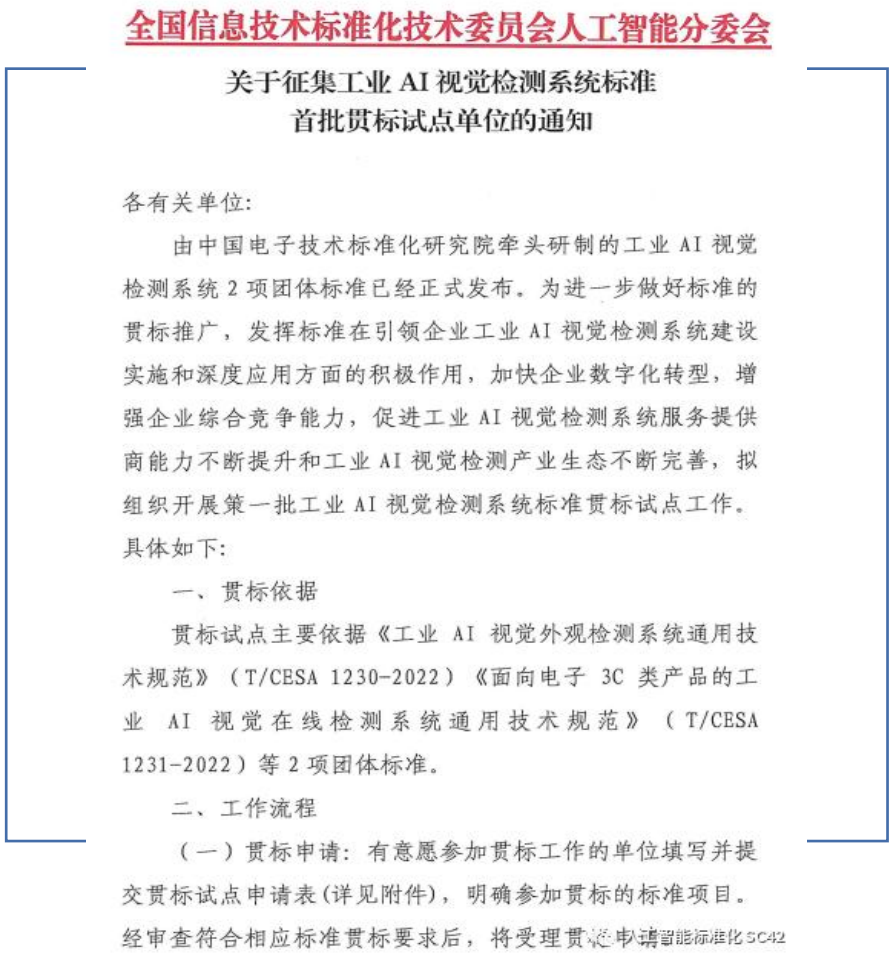
03 China AI Standardization 2023

SAC/TC28/SC42

Other activities:

Initiate the pilot trials for the implemetation of industrial AI visual inspection system - Association standards

- T/CESA 1230-2022 General technical specifications for industrial AI visual appearance inspection system
- T/CESA 1231-2022 General technical specification for industrial AI vision online inspection system for electronic 3C products



全国信息技术标准化技术委员会人工智能分委会

关于征集工业 AI 视觉检测系统标准
首批贯标试点单位的通知

各有关单位:

由中国电子技术标准化研究院牵头研制的工业 AI 视觉检测系统 2 项团体标准已经正式发布。为进一步做好标准的贯标推广，发挥标准在引领企业工业 AI 视觉检测系统建设实施和深度应用方面的积极作用，加快企业数字化转型，增强企业综合竞争能力，促进工业 AI 视觉检测系统服务提供商能力不断提升和工业 AI 视觉检测产业生态不断完善，拟组织开展第一批工业 AI 视觉检测系统标准贯标试点工作。具体如下:

一、贯标依据

贯标试点主要依据《工业 AI 视觉外观检测系统通用技术规范》（T/CESA 1230-2022）《面向电子 3C 类产品的工业 AI 视觉在线检测系统通用技术规范》（T/CESA 1231-2022）等 2 项团体标准。

二、工作流程

（一）贯标申请：有意愿参加贯标工作的单位填写并提交贯标试点申请表(详见附件)，明确参加贯标的标准项目。经审查符合相应标准贯标要求后，将受理贯标申请

China AI Standardization 2023

SAC/TC260/SWG-BDS

Updates in Standards

National Standards	• 20230249-T-469 Information security technology —Artificial intelligence computing platform security framework (at the stage of forming the draft for review) • Generative artificial intelligence data annotation security specification (at the stage of forming the draft for comment) • Security specification for generative artificial intelligence pre-training and fine-tuning data (at the stage of forming the draft for comment)
Technical Documents	• Basic security requirements for generative artificial intelligence service

Note: To be released soon: to support the record-filing requirement indicated in *Interim Measures for the Administration of Generative Artificial Intelligence Services*

03 China AI Standardization 2023

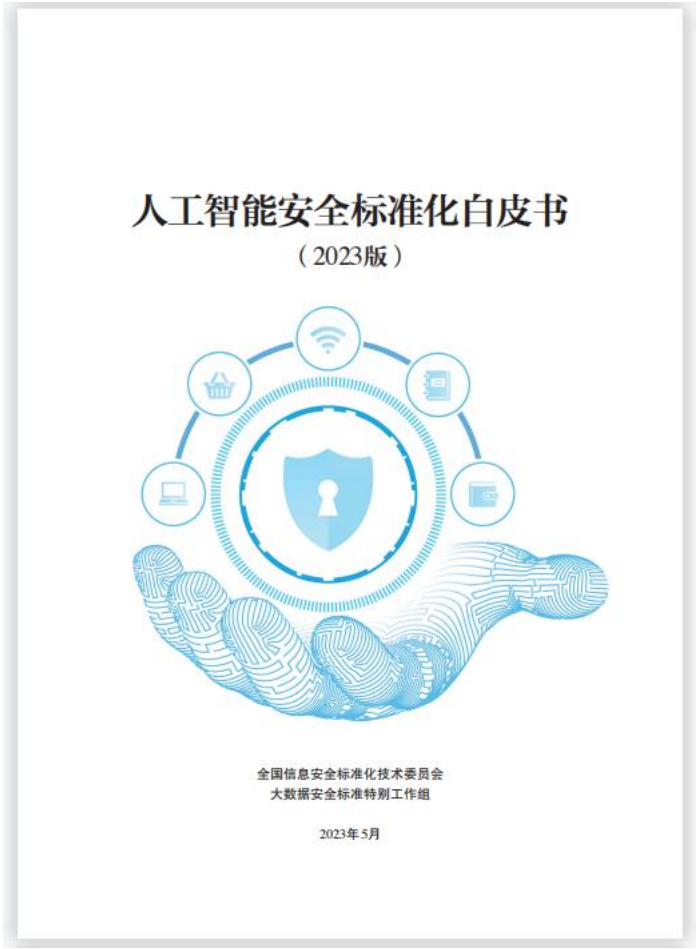
SAC/TC260/SWG-BDS

Updates in white paper or research report

White Paper on AI Safety and Security Standards

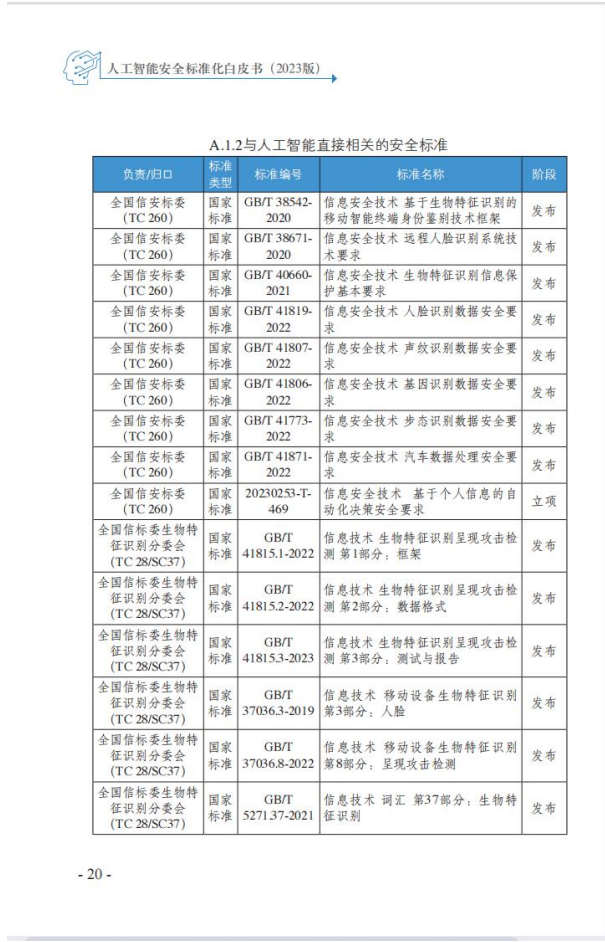
1. Status quo of AI development
2. Risk analysis of AI safety and security
3. Status quo of AI safety and security related policies and standards
4. Demand analysis of AI safety and security standards
5. Suggestions on AI safety and security standards

Annex: relevant standard list (Domestic & Abroad)


人工智能安全标准化白皮书
（2023版）

全国信息安全标准化技术委员会
大数据安全标准特别工作组

2023年5月


人工智能安全标准化白皮书（2023版）

A.1.2与人工智能直接相关的安全标准

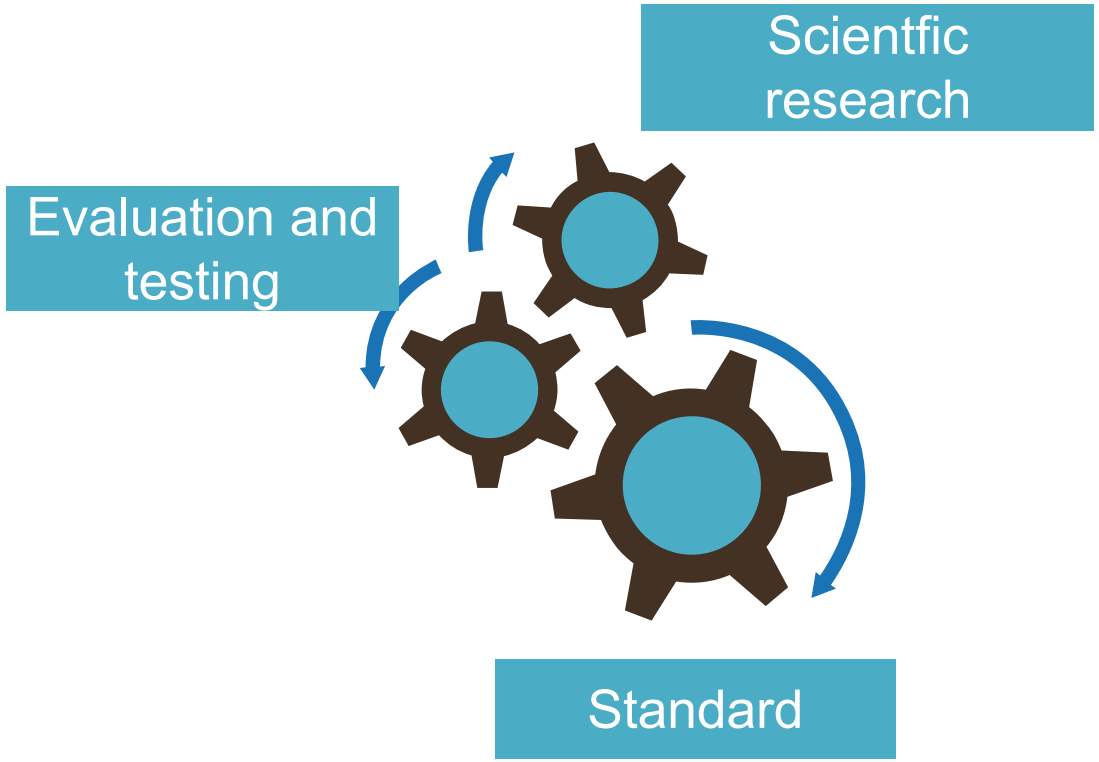
负责/归口	标准类型	标准编号	标准名称	阶段
全国信安标委（TC 260）	国家标准	GB/T 38542-2020	信息安全技术 基于生物特征识别的移动智能终端身份鉴别技术框架	发布
全国信安标委（TC 260）	国家标准	GB/T 38671-2020	信息安全技术 远程人脸识别系统技术要求	发布
全国信安标委（TC 260）	国家标准	GB/T 40660-2021	信息安全技术 生物特征识别信息保护基本要求	发布
全国信安标委（TC 260）	国家标准	GB/T 41819-2022	信息安全技术 人脸识别数据安全要求	发布
全国信安标委（TC 260）	国家标准	GB/T 41807-2022	信息安全技术 声纹识别数据安全要求	发布
全国信安标委（TC 260）	国家标准	GB/T 41806-2022	信息安全技术 基因识别数据安全要求	发布
全国信安标委（TC 260）	国家标准	GB/T 41773-2022	信息安全技术 步态识别数据安全要求	发布
全国信安标委（TC 260）	国家标准	GB/T 41871-2022	信息安全技术 汽车数据处理安全要求	发布
全国信安标委（TC 260）	国家标准	20230253-T-469	信息安全技术 基于个人信息的自动化决策安全要求	立项
全国信标委生物特征识别分委会（TC 28/SC37）	国家标准	GB/T 41815.1-2022	信息技术 生物特征识别呈现攻击检测 第1部分：框架	发布
全国信标委生物特征识别分委会（TC 28/SC37）	国家标准	GB/T 41815.2-2022	信息技术 生物特征识别呈现攻击检测 第2部分：数据格式	发布
全国信标委生物特征识别分委会（TC 28/SC37）	国家标准	GB/T 41815.3-2023	信息技术 生物特征识别呈现攻击检测 第3部分：测试与报告	发布
全国信标委生物特征识别分委会（TC 28/SC37）	国家标准	GB/T 37036.3-2019	信息技术 移动设备生物特征识别 第3部分：人脸	发布
全国信标委生物特征识别分委会（TC 28/SC37）	国家标准	GB/T 37036.8-2022	信息技术 移动设备生物特征识别 第8部分：呈现攻击检测	发布
全国信标委生物特征识别分委会（TC 28/SC37）	国家标准	GB/T 5271.37-2021	信息技术 词汇 第37部分：生物特征识别	发布

03 China AI Standardization 2023

- CAICT (China Academy of Information and Communications Technology)

Major outcome:

- Systematic comprehensive research on artificial intelligence has been carried out.
- A number of achievements such as the *White Paper on Artificial Intelligence* and the *White Paper on Trustworthy Artificial Intelligence* issued by CAICT have been translated and reproduced by internationally renowned think tanks.
- Establishing AI test technology platform and evaluation service system via three dimensions of cutting-edge technology, technology applications and trusworthy governance.
- Establishing "Trusted AI" standard system, actively promoting the conversion of relevant AI standards to ITU-T and IEEE standards, and promoting international exchanges and cooperation.



China AI Standardization 2023

- CAICT (China Academy of Information and Communications Technology)

CAICT Trustworthy Artificial Intelligence Assessment System

1-Product and service assessment

1.1 Computing architecture

- AI chips
- All-in-one AI for training and inference

1.2 Developing tools and platform

- AI development platform functional assessment (data processing module, modeling module, deployment module)
- RPA system and tool capability
- Machine learning platform function
- Deep learning platform function
- Data annotation platform function
- Automated machine learning capability
- Edge AI platform function
- AI platform function in telecom industry
- General capability of computer vision
- Intelligent conversation platform
- Process mining system and tool capability

1.3 Basic Services

- Basic Speech recognition capability
- Special speech recognition capability (foreign language, dialect, industry, scene)
- Basic speech synthesis service
- Assessment of special speech synthesis service capability (foreign language, dialect, industry, scene)
- Basic voiceprint recognition service
- Duplex voice interaction capability
- NLP service platform
- Intelligent conversation platform
- Assessment on image recognition and processing capability
- Assessment on video recognition and processing capability (video understanding, video enhancement, video editing, video editing and production)

1.4 Typical products

- Intelligent voice customer service
- Intelligent text customer service
- Intelligent conversation analysis product
- Intelligent conversation product
- Machine translation product
- Smart assistant
- Intelligent voice interaction product (speaker, smart screen)
- Vehicle voice interaction system
- OCR service or product
- Knowledge computing product
- Smart assistant
- Intelligent decision-making product capability
- IDP system
- Smart office tool and system capability
- Assessment of large-scale pre-trained models
- Intelligent risk control product

2-Application maturity assessment

2.1 Industry application maturity

- RPA system and tool application maturity
- AI development platform application maturity
- Assessment on customer service application maturity
- Model/ MLOps capability maturity
- Maturity of large-scale pre-trained model application
- RPA delivery and implementation
- Maturity of intelligent rick control application

3-Trustworthy AI governance assessment

- Trustworthy assurance tool
- AI technology and product trustworthy capability
- AI enterprise risk management capability
- AI enterprise trustworthy governance capability


SUMMARY

04 Summary 2023

Laws & regulations:
Interim Measures for the Administration of Generative Artificial Intelligence Services

Policies & initiatives:
- Global AI Governance Initiative
- Guiding Opinions on Innovative Development of Humannoid Robot

AI standardiztation:
SAC/TC28/SC42
- 5 newly-established working groups
- 12 newly-established national standard projects
- 3 large-scale model related standard projects to be established as national standards

SAC/TC260/SWG-BDS
- 4 AI safety and security related standards under development

CAICT
- Trusted AI Standard System

04 Summary 2023

1. The breakthrough of generative AI technology has brought certain impact on China's standardization:
   (1)the acceleration of the formulation and release of regulations and standards related to AI safety/security and governance.
   (2)The objective of these rules and standards is to ensure the safety of the AI generated contents, which is in align with other AI technology related policies and regulations released by China.
   (3)Standards are generally national specific, and SAC/TC260/SWG-BDS, the SDO responsible for AI security/safety standards development, rarely adopts or converts the international standards as always.

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2. TC28/SC42 devote efforts in association standards, international standards and national standards at the same time, and carry out relevant validation and pilot trials. This is also influenced by the China's policy on national standards, hoping to ensure the quality of national standards through the development and verification process of association standards and international standards.

3. CAICT is also constantly improving its trusted AI assessment system, and the standards formulated are mostly technical specifications with the aim of supporting the trusted AI evaluation system, thus supporting the applications of AI technology. They obtained support from quite a lot enterprises.


Thank You